

Docket Navigator

Desk Guide

This guide was designed for users to have a short and simple guide for navigating our user interface. It is intended to be a quick reference for various questions you might have in order to ensure that your litigation research is as efficient as possible.

COMPARING THE OLD AND THE NEW

If you are more familiar with our previous user interface, this diagram might help you connect the components of the two interfaces.

LEGACY

The legacy interface features a sidebar with navigation options: Search, Documents, Cases, Patents, Terms, Determinations, Remedies, Judges, Firms, Parties, Docket sheets, and PTAB Institutions. Below this is a section for 'OTHER SEARCH TYPES' including Time to Milestones, Timelines, Cases by Year, Motion Success, and Court Activity Stats. The main search area is titled 'Find a Specific Case' and includes a 'Case number' field. Below this is a 'Case' section with filters for Judge, Court/Agency, Type of pleading, Filed between (January 1, 2000 to today), Terminated between (January 1, 2000 to today), Case status, and Remedy. A 'Documents in Case' section is at the bottom.

NEW SEARCH INTERFACE

The new interface has a top navigation bar with 'Custom Search' and 'Quick Profiles'. The 'Custom Search' section includes a description and a 'SHOW ALL SEARCH TYPES' button. Below are two main search panels: 'Cases' and 'Documents'. The 'Cases' panel lists various case entries with columns for Case, Action Judge, and Start/Pending Date. The 'Documents' panel lists document entries with columns for Date of Document, Status, Case, and Document Date. Below these is a 'Quick Profiles' section with a description and input fields for Patent, Case, and Party, each with a 'begin typing' prompt.

NAVIGATION

Home Icon

If you get lost at any point or want to start over, just click on the ship's wheel in the top left corner to get back to the main search dashboard.

Custom Search

Use any of our twelve custom search types and thousands of filter combinations for unprecedented precision in your research. After clicking "View Results," you'll arrive at a binder that you can save, edit, or add new searches to.

Quick Profile

Quick profiles are the easiest way to get started. Type in a specific target, such as a case number, court, judge, etc., and instantly get a binder filled with pre-selected searches and charts describing that target.

Analytics

Analytics searches allow you to visualize large data sets with customized charts that reveal trends and provide insight for improved decision-making.

Navigation Menu

Move around within Docket Navigator using the navigation icon located in the top right corner. Here, you'll find all of the tools you can use for your research.

Binder Wall

If you've saved a binder, or created an alert, you can find it on the Binder Wall.

Downloads

Whenever you generate a download, you can find and download it from the Downloads page. If you are a Plus subscriber, you can also find Special Reports here.

Guide

The Docket Navigator User Guide contains an overview of the database and a glossary if you have any questions about our terminology.

Manage Alerts

Manage all of your alerts in one place from the Alerts page.

DETERMINING WHERE TO START

Where you start your search depends on the question you are asking. If you are looking for a list of results with narrowly defined criteria, then you would start in the Custom Search section. However, if you searching for a broad range of information on one specific topic (e.g. a single case) then you would start at the Quick Profiles section.

CUSTOM SEARCH

I want a list of things, like Cases, based on specific criteria.

QUICK PROFILES

I want a broad range of data associated with one topic, like a patent or a judge.

QUICK PROFILES

In the **QUICK PROFILES** section there are several options for searching: patent, case, party, firm, judge and court.

- 1 Put your cursor in the **COURT** box.
- 2 Type **CAND** in the box.
- 3 Click **CALIFORNIA NORTHERN DISTRICT** when you see it pop up as a suggestion.

This brings us to a Quick Profile binder. You can find these pre-built binders by doing any of the following:

- ▶ Enter criteria in one of the Quick Profile search boxes.
- ▶ Click on a keyword (like a judge or party name), or case number in the *Docket Report*.
- ▶ Click on a keyword (like a judge or party name), or case number from any list of results in the database.

EXAMPLE CUSTOM SEARCH

FIND A LIST OF CASES FOR A PARTY

- 1 In the Custom Search section click Cases.
- 2 Type a party name in the Parties filter editor.
- 3 Click "View Results"

EXAMPLE SEARCH FOR DOCUMENTS

One of the most common searches in our system is for a list of documents filtered by court, document text, or type of decision in various combinations. To access a Documents search:

- 1 In the Custom Search section click Documents.
- 2 Click Court to add a Court filter and/or add text to the Document Text filter. Use the filters in the grouped Document Type box to define the event you are looking for.
- 3 Click "View Results"

OVERVIEW OF BINDER COMPONENTS

A binder is just a webpage where you can organize your searches into tabs, and a tab is a single set of results based on the type of search you run.

File Menu

The File Menu contains all of the binder-level tools such as alert activation, exporting or saving.

Tab Controls

These are settings and options for an individual tab.

Add Another Search

The New Search Tab button allows you to add new searches to the Table of Contents or start completely over with a new binder.

Table of Contents

The Table of Contents drawer is where you navigate between different sets of results in a binder. You can add, delete, rename or rearrange tabs.

Tab

A tab is a single set of search results in the Table of Contents. A binder can have multiple tabs in its Table of Contents, or just a single tab. Give context to your search results by adding tab labels and binder names.

Binder Information

Click on the binder information button to add details about your binder such as a description or client code that will help you identify it later.

Unsaved Changes Indicator

The small colored circle lets you know that you've made changes to the binder that haven't been saved yet. Just Click **SAVE** in the **FILE** menu to save your changes.

The screenshot shows a web interface for a binder. At the top, there is a dark blue header with a 'FILE' menu button on the left and a user profile 'Judge Richard G. Andrews' on the right. Below the header is a 'NEW SEARCH TAB' button. A 'TABLE OF CONTENTS' drawer is open on the left, listing categories: Summary, Cases (highlighted with a hand cursor), Orders, Determinations, Remedies, Claim Constructions, and New Folder. At the bottom of the drawer is a 'Binder Information' button. On the right side, there is a 'RESULTS PANE' with tabs for FILTERS, OPTIONS, VIEW, and PRINT. Below these tabs is a list of cases, including 'Anacor Pharmaceuticals, Inc. v. Mylan Pharmaceuticals Inc. et al', 'In re: Kerydin (Tavaborole) Topical Solution 5% Patent Litigation', 'Cassiopeia IP LLC v. Aruba Networks, Inc.', 'Cassiopeia IP LLC v. Western Digital Corp.', 'Cassiopeia IP LLC v. ALE USA Inc. d/b/a Alcatel-Lucent Enterprise USA Inc.', 'Aido Mobility LLC v. Macy's, Inc.', 'Aido Mobility LLC v. Dow Jones & Company, Inc. d/b/a The Wall Street Journal', and 'SynKloud Technologies, LLC v. BLU Products, Inc.'. A small yellow circle next to the user name indicates unsaved changes.

Results Pane

The results pane is the window that displays your search results. It might display a list of cases or orders, or it could display an analytics chart. Each time you click a search tab in your Table of Contents, the results for that search will load in the results pane on the right side of the page.

TO SAVE OR TO SAVE AS?

If you load a binder that was pre-built (e.g. a Quick Profile), you may want to save a copy of it for yourself. If so, choose the **SAVE AS** option under the **FILE** menu. It will save a copy of that binder that you now own. Once you are the "owner" of the new binder, any further changes should be saved via **FILE > SAVE**. The same applies to binders that someone else shared with you.

TIP: SAVE AS always creates a **NEW** binder, so only use it when you're wanting to claim ownership of a copy of a binder.

CUSTOMIZING YOUR BINDER

Rename The Binder

Select **FILE > RENAME** and type in a new name. You can also click the binder name at the top of the page, edit and press enter.

Delete A Tab

Delete a tab by right-clicking the tab in the Table of Contents and selecting **DELETE**. Or click **OPTIONS > DELETE TAB**.

Change The Tab Order

To change the order of the tabs in your Table of Contents, click the tab you want to move and drag it up or down, then release.

Use Folders To Organize

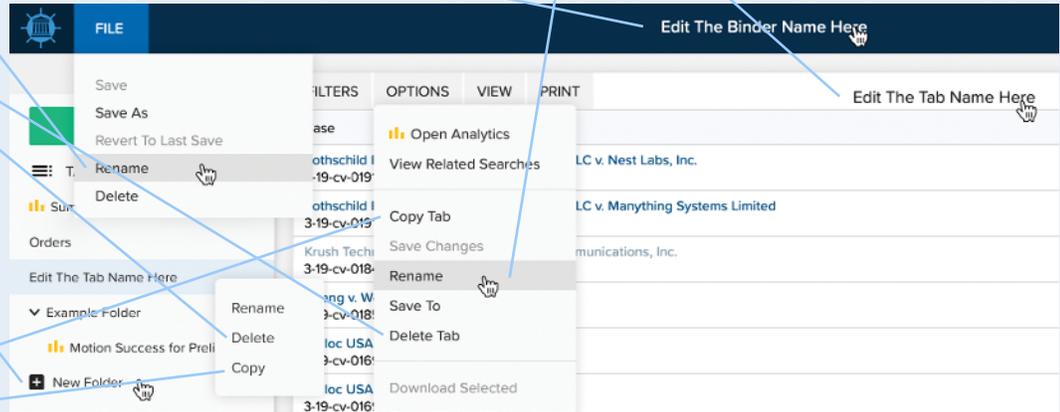
Click **NEW FOLDER** at the bottom of the Table of Contents. To give the folder a name, right-click on it and type in the new name. To move tabs into the folder, click and hold the tab you want to move, then drag it on top of the folder and release.

Copy Tab

You can create an exact copy of any tab in your Table of Contents. This is helpful when you need to perform several similar searches. For example, you might run a Cases search with a specific party filter set to "Patentee." Rather than creating another tab from scratch with that same party as the "Patent Challenger," just click **OPTIONS > COPY TAB** in your first results pane. That will create an identical tab in your Table of Contents AND will jump you automatically to that tab. Then just edit your filters to set the party as the "Patent Challenger."

Rename A Tab

Select **OPTIONS > RENAME** and type in a new name; or click the tab name at the top of the results pane, edit, and press enter. You can also rename, delete or copy a tab by right-clicking a tab in the Table of Contents.



CONTROLS FOR TABS

A tab is a single set of search results in a Table of Contents. A binder can have multiple tabs in its Table of Contents, or just a single tab. The controls located at the top of the results pane apply only to that single set of results.

Filter Drawer

To edit search parameters click the **FILTERS** button and **EDIT FILTERS** or select a related filter.

Expand All +'s

Click the **EXPAND ALL** button to expand all the + icons at once. Click again to collapse.

Expandable Sections

The **EXPANDABLE SECTIONS** control what appears under the + icons in a list of results. Any option selected under the **EXPANDABLE SECTION** will appear when the + icons are expanded, and will also create an additional tab of results when exporting to XLS.

Choose Your Columns

To specify which columns should appear in your search results, click the **VIEW** button. Check or un-check the columns you want to appear. Click **UPDATE LAYOUT** to implement the changes. If you want those columns to be your default column settings for all future results of the same type, click **VIEW > SAVE AS GLOBAL DEFAULT** and the database will remember your preferences. Each search type has its own column options, so make sure you check them for different types of searches.

Options Menu

The **OPTIONS** menu has controls related to the tab as well as related searches and analytics shortcuts.

Print Tab

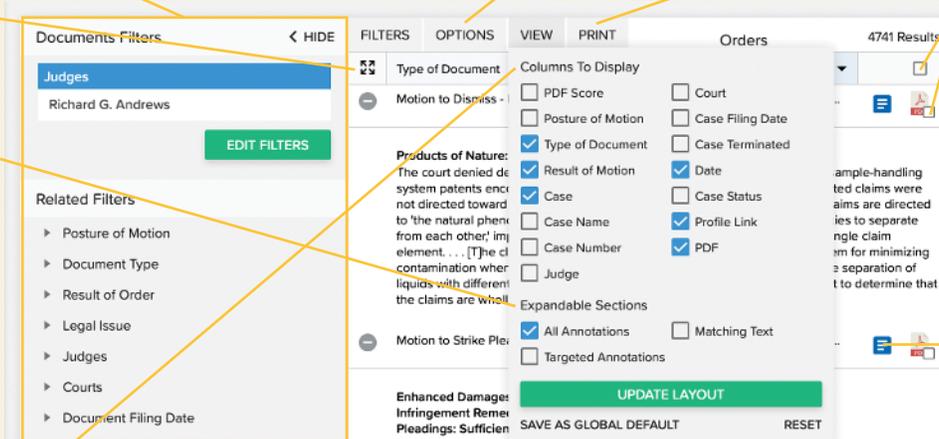
Print a single pane of results by clicking **PRINT**.

Download PDF

Download a single PDF by clicking the icon. Bulk download PDFs by checking the small checkbox next to the documents and then **OPTIONS > DOWNLOAD SELECTED**. You can also select all by clicking the box at the top of the column.

View Document Profile

Click this link to see the docket entry associated with the document, as well as the annotations our attorneys have written about the document.



OPEN ANALYTICS

Many searches can be converted into an analytics search as long as the same filters are available in both search types. For example, you can convert a "Cases" search to a "Cases by Year" analytics chart with just a couple of clicks. Just click **OPTIONS > OPEN ANALYTICS** to see which analytics targets are available for the filters you're using. Click the tabs you want to create, then click **OPEN TABS** at the bottom of the list to start the conversion. New tabs will appear in your Table of Contents for each new analytics option that you selected.

RELATED SEARCHES

Many searches can be converted into a different type of search as long as the same filters are available in both search types. For example, you can convert a "Cases" search to an "Accusations" search with just a couple of clicks. Just click **OPTIONS > VIEW RELATED SEARCHES** to see which search targets are available for the filters you're using. Click the ones you want to create, then click **OPEN TABS** at the bottom of the list to start the conversion. A new tab will appear in your Table of Contents for each new search target that you selected.

WHEN TO USE ANDS & ORS

One of the most effective ways to craft a search is with AND operators between filters. For example, if you wanted to find cases involving Samsung AND Apple, you can do that by clicking the + icon to the left of the filter. Doing this will create another filter box of the same type.

- ▶ Filters entered into separate boxes will be separated by AND operators.
- ▶ Filters entered within the same box will be separated by OR operators.

Parties Affiliates

Apple Inc.

or begin typing

and

Parties Affiliates

begin typing

IMPORTING A LIST FOR CRITERIA

You can import lists of patents, party names, or case numbers as filters. Look for the black upload arrow next to certain filters and follow the instructions in the dialog box to import your list.

Parties Affiliates

begin typing

IS EXACTLY, BEGINS WITH, & CONTAINS

You can specify if the displayed party's name "is exactly, begins with, or contains" by selecting your preferred option. Just start typing in a Parties filter box and you'll see the options:

Parties Affiliates

contains General Electric

Is Exactly Begins With Contains

DONE

PARTY, ATTORNEY & FIRM ROLES

The drop-down arrow next to filter titles allows you to set Parties as Patentees or Patent Challengers, and Firms or Attorneys as representing either.

Parties Affiliates

Parties
Searches for cases involving the selected PARTIES.

Docket Navigator defines Patentee as follows:

A Patentee (sometimes referred to as a patent owner) is a litigant in a Patent Case who holds or claims to hold rights to a U.S. patent. In district court cases a Patentee is usually a plaintiff, but may be a defendant in declaratory judgment cases (i.e., cases in which the complaint seeks a declaration of patent noninfringement, invalidity or unenforceability). In the ITC a Patentee is usually a complainant. In the PTAB a Patentee is the patent owner.

Docket Navigator defines Patent Challenger as follows:

A Patent Challenger (sometimes referred to as an accused infringer) is a litigant in a Patent Case who is accused of infringing a U.S. patent or who is challenging the infringement, validity or enforceability of a U.S. patent. In district court cases a Patent Challenger is usually a defendant, but may be a plaintiff in declaratory judgment cases (i.e., cases in which the complaint seeks a declaration of patent noninfringement, invalidity or unenforceability). In the ITC a Patent Challenger is usually a respondent. In the PTAB a Patent Challenger is the petitioner.

AFFILIATES

You can also include Affiliates in your search results if they were named in a Corporate Disclosure Statement filed in a case by the party you're using as the primary filter. Just click the box under Affiliates.

Parties Affiliates

General Electric Company

ADVANCED FILTERS

We've put the most commonly used filters on the main page but if you don't find what you're looking for, check out the Advanced Filters tab.

Patent Library

Filters for Documents **Advanced Filters**

USING ONE SEARCH AS A FILTER FOR A DIFFERENT SEARCH

Several searches allow you to use other search results as a filter in a new search. For example, you could create a list of patents in the 705 patent classification, then use that list of results as a filter for a list of cases in a new search. To use previous search results, click **EDIT FILTERS** in the filters drawer and select **USE PREVIOUS SEARCH RESULTS**.

FILE

Filters for Cases **Advanced Filters** **Use Previous Search Results**

IMPORTANT:

When using a previous set of results as a filter, it's important to realize that you are making a copy of the filters from the previous results. So if you edit the filters on the previous results after creating a sub-search, it will NOT update filters in the sub-search. Let's say that in the example above using the 705 patent classification, that list might produce 2,500 patents. The Cases sub-search would find all cases involving those 2,500 patents, but if you changed the 705 patent class to the 706 patent class in the original search, the Cases sub-search would NOT update to find the cases involving the 706

PRINTING

To print a copy of the current tab, click PRINT at the top of the results pane. For multiple tabs, use an export option in the file menu.

THE BINDER WALL

Click to choose a default sorting preference for your binders.

Click one of the buttons to filter the list of binders.

Click the magnifying glass and type in a word or exact phrase to find binders with those search terms in the title.

The screenshot shows the Binder Wall interface. At the top, there is a 'Patent' tab, a 'Sort: Date Viewed (New to Old)' dropdown, a 'Show All' button, and filter buttons for 'Alerted' and 'Bookmarked'. A search bar is also present. Below this, a list of binders is displayed, each with a circular icon (AF), a title, and metadata like 'Created', 'Viewed', and 'Edited' dates. To the right of each binder are icons for sharing (with a number), alerting (a bell), copying, favoriting (a star), and deleting (a trash can). A tooltip for the share icon shows a list of users: Chew Bacca, Han Solo, and Darth Vader.

If the bell icon is red, there is an active alert for the binder. Click the bell to manage or create an alert on the binder.

Hover over the share icon number to see who the binder has been shared with. To share or unshare the binder, click the icon.

If the bookmark icon is yellow, the binder has been set as a "favorite." Click the star to set or unset as a favorite.

Click the copy icon to make a copy of the binder.

Click the trashcan icon to delete a binder.

THE ALERTS PAGE

Click to adjust the frequency of selected alerts.

Click to delete selected alerts.

If you are an admin, use this toggle to filter the list of alerts to only your alerts or to include all of your group's alerts.

Click to export your list of alerts to a spreadsheet.

Click to add or remove a specific user from selected alerts.

Click a column header to sort by that field. Click again to reverse order.

The screenshot shows the 'Manage Alerts for Patent Library' page. At the top, there is a toggle for 'All Group Alerts' (currently ON) and an 'EXPORT TO EXCEL' button. Below this are buttons for 'Add User', 'Remove User', 'Change Frequency', and 'Delete'. A search bar labeled 'Filter alerts' is also present. The main part of the page is a table with columns: Binder/Alert Name, Binder Owner, Start Date, Last Email, Emails Sent, Recipients, and Client Code. Three alerts are listed, each with a checkbox in the first column and an 'Add Code' link in the last column.

Click in the search box to find alerts with specific text in the title.

Click to open the list of recipients and potential recipients. Make changes as necessary in the dialog box.

DOWNLOADS

If you chose to export a binder or specific tabs in a binder from the **FILE** menu, the database will package those up as an XLS or PDF and post them to your Downloads page for easy retrieval. The files will display as "PENDING" until the job is finished. Once the display switches to "DOWNLOAD," just click the **DOWNLOAD** button and the file will be sent to your local drive.

You will also be sent an email with a link to the file once it is ready to download. If you chose to export multiple court document-PDFs, those will be posted on the Downloads page as well. Just click the **DOWNLOAD** button and the zip-file will be sent to your local drive. It's important to understand the difference between search results in a tab and court document-PDFs. To clarify:

- ▶ Exporting the PDFs will give you the actual court documents.
- ▶ Exporting search results will give you a list of the *descriptions* (docket entries) of the PDFs.

The screenshot shows the 'Downloads' page. It features a search bar and a table with columns: Type, Name, Date Created, and Library. Five items are listed, each with a 'DOWNLOAD' button and a 'DELETE' button. The items are: Deep Dive Vol. 1, California Northern District, 2015 Year In Review, and 2016 Year In Review.

SHARING BINDERS

To share a binder, click the **FILE** menu and select **SHARE BINDER**. Select the users you want to share with and click **UPDATE ACCESS**.

The users you selected will receive an email with a link to your binder and will be able to see all the charts and graphs you've created. Don't worry though. They will **NOT** be able to make changes to your binder. Only the binder owner can make changes to it, so there's no way anyone can mangle your hard work.

The screenshot shows the 'Share This Binder' dialog box. It has a title bar with a back arrow and a close button. Below the title bar, there are two tabs: 'YOUR GROUP' and 'OUTSIDE YOUR GROUP'. A table lists users with checkboxes, columns for First Name, Last Name, and Email. At the bottom right, there is an 'Optional Message' text area, two checkboxes for 'Send an invitation email to new recipients' and 'Turn on Docket Alerts for people you have just added to this binder', and a 'SHARE BINDER' button.

THE DIFFERENCE BETWEEN THE “DOCUMENT TYPES” AND “CASES WITH DOCUMENT TYPES” FILTERS

Knowing how these filters work will take you to a new level of research.

The difference in those two filters is that “Document Types” will return rulings on the type of motion you select, or pleadings that you select. The “Cases with Document Types” in the Advanced Filters tab will return documents *in cases* with the type of ruling/pleading you specify.

For example, the latter will only return results IF a specific type of document also exists in the case. Here are some examples:

- ▶ I want to see all claim construction rulings in cases that had a verdict.

1 Filters for Documents Tab
DOCUMENT TYPE = CLAIM CONSTRUCTION (MARKMAN)

2 Advanced Filters
CASES WITH DOCUMENT TYPES = VERDICT

My results would therefore be claim construction rulings in cases in which a verdict was rendered.

- ▶ I want to see Verdicts in cases that were appealed to the Federal Circuit.

1 Filters for Documents Tab
DOCUMENT TYPE = VERDICT

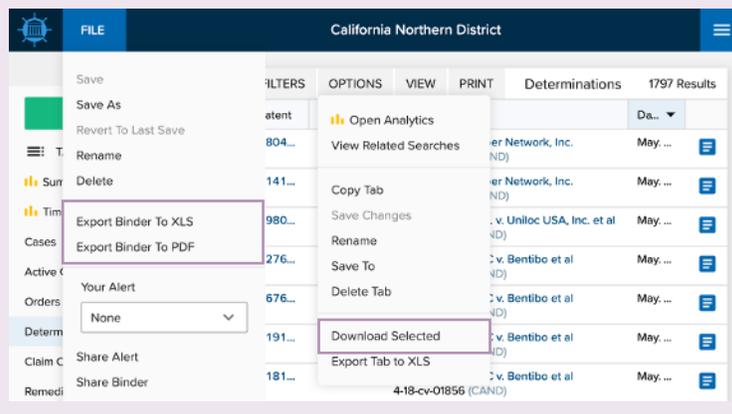
2 Advanced Filters
CASES WITH DOCUMENT TYPES = NOTICE OF APPEAL

My results would therefore be verdicts in cases in which a notice of appeal was filed.

EXPORT OPTIONS

To create a PDF of the current tab, click PRINT at the top of the results pane.

- ▶ To export the results from a single tab to a spreadsheet, click **OPTIONS > EXPORT TAB TO XLS** at the top of the results pane.
- ▶ To export the results from all or multiple tabs to a PDF, click **FILE > EXPORT BINDER TO PDF**.
- ▶ To export the results from all or multiple tabs to a spreadsheet, click **FILE > EXPORT BINDER TO XLS**.
- ▶ To export multiple court document-PDFs to a zip-file, select the PDFs you want to export in bulk, then click **OPTIONS > DOWNLOAD SELECTED**.



WHAT'S IN A URL?

Notice the components of the URL in your browser's address bar. Once you save your own binder, it will be given a binder number with a private URL. In the example below, the 161710 is the number of the binder. The last digit after the slash mark is the tab number. When you click on the different tabs in your binder, the last number will change according to the tab you're on, but the binder number will remain the same.

- ▶ <https://compass.docketnavigator.com/patent/binder/161710/0>

The pre-built, public* binders look a little different. For example, a Judge pre-built binder will contain “judge” in the URL:

- ▶ <https://compass.docketnavigator.com/patent/judge/14649/0>

A case pre-built binder will look like this:

- ▶ <https://compass.docketnavigator.com/patent/case/192902/0>

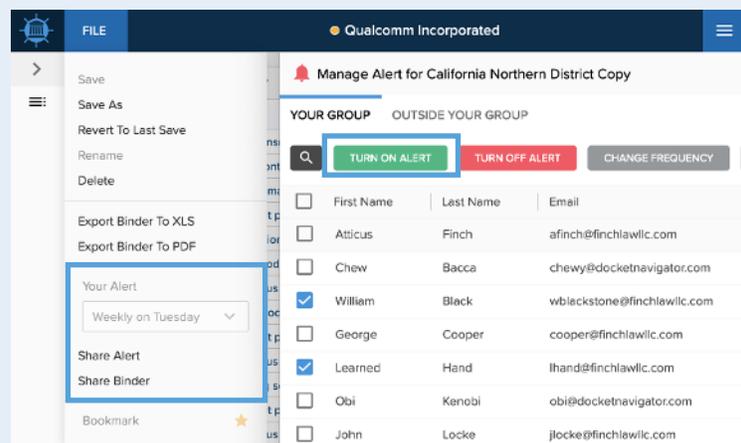
Anyone can load a URL from a “public” binder. But for binders that YOU own, either because you created it from scratch, or saved a copy of a pre-built binder, YOU are the only one that can load that URL unless you share it with someone.

*Typically public, pre-built binders are Quick Profiles.

CREATE ALERTS

To set up an alert for yourself on a binder, just click the **FILE** menu and under **YOUR ALERT**, select something other than **NONE**.

To set up a binder for someone else, select **SHARE ALERT** under the **FILE** menu, click the boxes next to usernames in your group, click the green **TURN ON ALERT** and choose a frequency for the alert.



If you just need to set up an alert without viewing the results of a search, click the **CREATE ALERT** button and set your frequency from the options displayed.



ABOUT DOCKET NAVIGATOR

For more than a decade, Docket Navigator has been a must-have patent litigation intelligence platform for more than 15,000 judges, lawyers and legal professionals. Recently expanded to cover trademark, copyright, and antitrust litigation, Docket Navigator is the only service that reports every significant event, in every case, every day.

Our U.S. based legal editors curate litigation data by hand, recording up to 29 different types of data for each court document and up to 19 different types of data for each case. The result is the most detailed, most accurate, and most comprehensive litigation database available. The *Docket Report* and custom Docket Alerts keep you up to date every day, while our research database, Special Reports, and enhanced analytics help you make more informed, data-driven decisions.